

# **Audit and Governance Committee**

## **Agenda**

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<b>Date:</b>	<b>Thursday 28th September 2017</b>
<b>Time:</b>	<b>2.00 pm</b>
<b>Venue:</b>	<b>Committee Suite 1,2 &amp; 3, Westfields, Middlewich Road, Sandbach CW11 1HZ</b>

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The agenda is divided into 2 parts. Part 1 is taken in the presence of the public and press. Part 2 items will be considered in the absence of the public and press for the reasons indicated on the agenda and at the top of each report.

It should be noted that Part 1 items of Cheshire East Council decision making and Overview and Scrutiny meetings are audio recorded and the recordings will be uploaded to the Council's website.

### **PART 1 – MATTERS TO BE CONSIDERED WITH THE PUBLIC AND PRESS PRESENT**

1. **Apologies for Absence**

2. **Declarations of Interest**

To provide an opportunity for Members and Officers to declare any disclosable pecuniary and non-pecuniary interests in any item on the agenda.

3. **Public Speaking Time/Open Session**

In accordance with Procedure Rules Nos.11 and 35 a total period of 10 minutes is allocated for members of the public to address the Committee on any matter relevant to the work of the body in question.

Individual members of the public may speak for up to 5 minutes but the Chairman will decide how the period of time allocated for public speaking will be apportioned where there are a number of speakers.

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For requests for further information

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Members of the public wishing to ask a question at the meeting should provide at least three clear working days' notice in writing and should include the question with that notice. This will enable an informed answer to be given.

It is not required to give notice of the intention to make use of public speaking provision, however, as a matter of courtesy, a period of 24 hours notice is encouraged.

4. **Minutes of Previous meeting** (Pages 5 - 10)

To approve the minutes of the meeting held on 1<sup>st</sup> June 2017 as a correct record.

5. **Grant Thornton - Audit Findings and Action Plan Report 2016/17**  
(Pages 11 - 12)

To consider the report of Grant Thornton, the external auditors, on their findings from the 2016/17 audit

(Appendix to follow)

6. **2016/17 Statement of Accounts** (Pages 13 - 14)

To consider the report and note the changes to the draft accounts in accordance with the audit findings report.

7. **Treasury Management Annual Report 2016/17** (Pages 15 - 32)

To consider a report on the performance of the Council's treasury management operation for 2016/17.

8. **Update on Air Quality Data Internal Audit Report** (Pages 33 - 40)

To consider a report which details the management actions agreed following the Internal Audit review of Air Quality Management.

9. **Annual Governance Statement 2016/17** (Pages 41 - 82)

To approve the Annual Governance Statement for 2016/17.

10. **Audit and Governance Committee Annual Report 2016/2017** (Pages 83 - 102)

To consider the Committee's draft annual report for 2016/17 prior to it being considered at the Council meeting on 19<sup>th</sup> October 2017.

11. **Report on Customer Feedback - Complaints, Compliments and Referrals to Local Government Ombudsman 2016/17** (Pages 103 - 116)

To consider a report on the complaints, compliments and referrals to the Local Government Ombudsman for 2016/17.

12. **Members' Code of Conduct: Standards Report** (Pages 117 - 122)

To consider a report on the number of complaints received under the code of conduct for Members which have been, or are to be considered by the Acting Director of Legal Services (in his capacity as the authority's Monitoring Officer) and the Independent Person for the period 1 March 2017 to 31 August 2017.

13. **Whistleblowing Arrangements** (Pages 123 - 128)

To consider a report on the number of 2016/17 reports and an update on a forthcoming independent review of the Council's whistleblowing arrangements.

14. **Review of the Effectiveness of Internal Audit and Compliance with the Public Sector Internal Audit Standards** (Pages 129 - 158)

To consider a report which provides an update on the Independent Public Sector Internal Audit Standards external assessment, scheduled to take place later in 2017/18.

15. **Work Plan 2017/18** (Pages 159 - 170)

To consider the Committee Work Plan and any required amendments.

16. **Waivers and Non Adherences**

To receive an update on the quantity and reasons for the issue of WARNs approved between the 1<sup>st</sup> of February 2017 and the 31<sup>st</sup> of August 2017.

17. **Exclusion of the Press and Public**

The reports relating to the remaining items on the agenda have been withheld from public circulation and deposit pursuant to Section 100(B)(2) of the Local Government Act 1972 on the grounds that the matters may be determined with the press and public excluded.

The Committee may decide that the press and public be excluded from the meeting during consideration of the following items pursuant to Section 100(A)4 of the Local Government Act 1972 on the grounds that they involve the likely disclosure of exempt information as defined in Paragraphs 1, 2 and 3 of Part 1 of Schedule 12A to the Local Government Act 1972 and public interest would not be served in publishing the information.

## **PART 2 - MATTERS TO BE CONSIDERED WITHOUT THE PUBLIC AND PRESS PRESENT**

### **18. Waivers and Non Adherences**

To note the approved WARNS issued between the 1<sup>st</sup> of February 2017 and the 31<sup>st</sup> of August 2017.